

Form 1449 (Modified)	Atty Docket No. SRI1P028/4431-2	Application No.: 09/779,203
Information Disclosure	Applicant:	
Statement By Applicant	Pelrine, et al.	
	Filing Date	Group
(Tise Several Sheets if Necessary)	02/07/01	2858

-	- 	1	U.S. Pater	it Documents	T	Sub-	Filing
Examiner	No.	Patent No.	Date	Patentee	Class	class	Date
Initial		6,048,622	04/11/00	Hagood, et al.			02/09/99
	A B	5,915,377	06/29/99	Coffee			01/24/97
	T c	5,902,836	05/11/99	Bennet, et al.	 		08/23/95
<u> </u>	 	5,835,453	11/10/98	Wynne, et al.			05/05/97
	E	5,642,015	06/24/97	Whitehead, et al.			05/01/95
	F	5,430,565	07/04/95	Yamanouchi, et al.			06/02/93
	Ĝ	5,254,296	10/19/93	Perlman			11/13/91
	H	5,250,784	10/05/93	Muller, et al.			10/24/91
	1 T	5,229,979	07/20/93	Scheinbeim, et al.			12/13/91
	J	5,024,872	06/18/91	Wilson, et al.		.,	08/13/87
	K	4,969,197	11/06/90	Takaya			02/21/89
	L	4,885,783	12/05/89	Whitehead, et al.			04/10/87
	M	4,843,275	06/27/89	Radice			01/19/88
	N	4,518,555	05/21/85	Ravinet, et al.			06/14/83
	0	4,401,911	08/30/83	Ravinet, et al.		ļ	03/02/81
	P	4,400,634	08/23/83	Micheron		ļ. <u> </u>	12/09/80
	Q	4,384,394	05/24/83	Lemonon, et al.		<u> </u>	05/13/81
	R.	3,403,234	09/24/68	Barnes, Jr.			09/11/64

Foreign Patent or Published Foreign Patent Application

			Foreign Patent			/	A 7	(T) 1	.4: -
1	Examiner		Document	Publication	Country or	į	Sub-	Transl	
		No.	No.	Date	Patent Office	Class	class	Yes	No
	Initial			· · · · · · · · · · · · · · · · · · ·				¥	
√i		S	WO 01/06575	01/25/01	PCT	ļ			1
~		T	WO 98/35529	08/13/98	PCT			<u> </u>	
•					PCT			X	
		Ų	WO 95/08905	03/30/95	I FUI				

Other Documents

		Other population
Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	V	Ajluni, Cheryl, "Pressure Sensors Strive to Stay on Top, New Silicon Micromachining Techniques and Designs Promise Higher Performance", Electronic Design – Advanced Technology Series, October 3, 1994, pp. 67-74
	w	Anderson, R. A., "Mechanical Stress in a Dielectric Solid From a Uniform Electric Field", <i>The American Physical Society</i> , 1986, pp. 1302-1307
Examiner	<u> </u>	Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FAX RECEIVED

MAY 3 1 2002

Form 1449 (Modified)	Atty Docket No. SRI1P028/4431-2	Application No.: 09/779,203
Information Disclosure Statement By Applicant	Applicant: Pelrine, et al.	
Demonto III	Filing Date	Group
(Use Several Sheets if Necessary)	02/07/01	2858

			Other Documents
	Examiner		
	Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
		X	Aramaki, S., S. Kaneko, K. Arai, Y. Takahashi, H. Adachi, and K.
			Yanagisawa. 1995. "Tube Type Micro Manipulator Using Shape Memory
		ŀ	Alloy (SMA)," Proceedings of the IEEE Sixth International Symposium on
			Micro Machine and Human Science, Nagoya, Japan, pp. 115-120.
		Y	Ashley, S., "Smart Skis and Other Adaptive Structures", Mechanical
			Engineering, November 1995, pp. 77-81
		Z	Bar-Cohen, Yoseph, JPL, WorldWide ElectroActive Polymers, EAP (Artificial
V			Muscles) Newsletter, Vol. 1, No. 1, June 1999.
ایا		Al	Bar-Cohen, Yoseph, JPL, WorldWide ElectroActive Polymers, EAP (Artificial
V			Muscles) Newsletter, Vol. 1, No. 2, December 1999.
		A2	Bar-Cohen, Yoseph, JPL, WorldWide ElectroActive Polymers, EAP (Artificial
V			Muscles) Newsletter, Vol. 2, No. 1, July 2000.
		A3	Bar-Cohen, Yoseph, JPL, WorldWide ElectroActive Polymers, EAP (Artificial
		ļ.	Muscles) Newsletter, Vol. 2, No. 2, December 2000.
		A4	Bar-Cohen, Yoseph, JPL, WorldWide ElectroActive Polymers, EAP (Artificial
V		ļ	Muscles) Newsletter, Vol. 3, No.1, June 2001.
		A5	Bar-Cohen, Yoseph, JPL, WorldWide Electroactive Polymer Actuators
			Webhub webpages 1-7, http://ndeaa.jpl.nasa.gov/nasa-nde/lommas/eap/EAP-
•			web.htm. downloaded July 23, 2001.
		A6	Baughman, R., L. Shacklette, R. Elsenbaumer, E. Plichta, and C. Becht
			"Conducting Polymer Electromechanical Actuators," Conjugated Polymeric
		ļ	Materials: Opportunities in Electronics, Optoelectronics and Molecular
			Electronics, eds. J.L. Bredas and R.R. Chance, Kluwer Academic Publishers,
ĺ			The Netherlands, pp. 559-582, 1990
		A7	Baughman, R.H., L.W. Shacklette, and R.L. Elsenbaumer, E.J. Plichta, and C.
أميا		[Becht, "Micro electromechanical actuators based on conducting polymers", in
V			Molecular Electronics, Materials and Methods, P.I. Lazarev (ed.), Kluwer
i			Academic Publishers, pp. 267-289 (1991)
		A8	Bharti, V., Y. Ye, TB. Xu and Q. M. Zhang, "Correlation Between Large
		ļ	Electrostrictive Strain and Relaxor Behavior with Structural Changes Induced
			in P(VDF-TrFE) Copolymer by electron Irradiation," Mat. Res. Soc. Symp.
			Proc. Vol 541, pp. 653-659 (1999).
		A9	Bharti, V., ZY. Cheng, S. Gross, TB. Xu, and Q. M. Zhang, "High
			electrostrictive strain under high mechanical stress in electron-irradiated
			poly(vinylidene fluoride-trifluoroethylene) copolymer," Appl. Phys. Lett. Vol.
. 1			75, 2653-2655 (October 25, 1999).
	Examiner		Date Considered



Form 1449 (Modified)	Atty Docket No. SRI1P028/4431-2	Application No.: 09/779,203
Information Disclosure Statement By Applicant	Applicant: Pelrine, et al.	
1	Filing Date	Group
(Use Several Sheets if Necessary)	02/07/01	2858

		Other Documents
Examiner		
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	B1	Bharti, V., H. S. Xu, G. Shanthi, and Q. M. Zhang, "Polarization and
	1	Structural Properties of High Energy Electron Irradiated Poly(vinylidene
		fluoride-trifluoroethylene) Copolymer Films," to be published in J. Appl:
l		Phys. (2000).
	B2	Bharti, V., XZ. Zhao, Q. M. Zhang, T. Romotowski, F. Tito, and R. Ting,
		"Ultrabigh Field Induced Strain And Polarization Response In Electron
	i	Irradiated Poly(Vinylidene Fluoride-Trifluoroethylene) Copolymer," Mat. Res.
		Innovat. Vol. 2, 57-63 (1998).
	B3	Bobbio, S., M Kellam, B. Dudley, S. Goodwin Johansson, S. Jones, J.
	}	Jacobson, F. Tranjan, and T. DuBois, "Integrated Force Arrays," in Proc. IEEE
	1	Micro ElectroMechanical Systems Workshop, Fort Lauderdale, Florida
		February 1993.
	B4	Bohon, K., and S. Krause, "An Electrorheological Fluid and Siloxane Gel
		Based Electromechanical Actuator: Working Toward an Artificial Muscle," to
		be published in J. Polymer Sci., Part B. Polymer Phys. (2000)
	B5	Brock, D. L., "Review of Artificial Muscle based on Contractile Polymers,"
		MIT Artificial Intelligence Laboratory, A.I. Memo No. 1330, Nov. 1991.
	B6	Caldwell, D., G. Medrano-Cerda, and M. Goodwin, "Characteristics and
		Adaptive Control of Pneumatic Muscle Actuators for a Robotic Elbow," Proc.
	1	IEEE Int. Conference on Robotics and Automation, San Diego, California (8-
		13 May 1994).
	B7	Calvert, P. and Z. Liu, "Electrically stimulated bilayer hydrogels as muscles,"
		Proceedings of the SPIE International Symposium on Smart Structures and
	1	Materials: Electro-Active Polymer Actuators and Devices, March 1-2, 1999,
		Newport Beach, California, USA, pp. 236-241.
	B8	Cheng, ZY., H. S. Xu, J. Su, Q. M. Zhjang, PC. Wang, and A. G.
]	MacDiarmid, "High performance of all-polymer electrostrictive systems,"
]	Proceedings of the SPIE International Symposium on Smart Structures and
	i	Materials: Electro-Active Polymer Actuators and Devices, March 1-2, 1999,
		Newport Beach, California, USA., pp. 140-148.
	B9	Cheng, ZY., TB. Xu, V. Bharti, S. Wang, and Q. M. Zhang, "Transverse
		Strain Responses In The Electrostrictive Poly(Vinylidene Fluoride-
		Trifluorethylene) Copolymer," Appl. Phys. Lett. Vol 74, No. 13, pp. 1901-
		1903, March 29, 1999.
	B10	
		Properties of Electrochemically Driven Polypyrrole Free-standing Films,"
i		Journal of Intelligent Material Systems and Structures, Vol. 6, pp. 32-37,
		January 1995
Examiner		Date Considered
		the state of the s

Form 1449 (Modified)	Atty Docket No. SRI1P028/4431-2	Application No.: 09/779,203
Information Disclosure Statement By Applicant	Applicant: Pelrine, et al.	
1	Filing Date	Group
(Use Several Sheets if Necessary)	02/07/01	2858

			Other Documents
	Examiner		
	Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
		C1	De Rossi, D., and P. Chiarelli. 1994. "Biomimetic Macromolecular
		}	Actuators," Macro-Ion Characterization, American Chemical Society
		İ .	Symposium Series, Vol. 548, Ch. 40, pp. 517-530.
		C2	Dowling, K., Beyond Faraday-Non Traditional Actuation, available on the
1			World Wide Web at http://www.frc.ri.cmu.edu/~nivek/OTH/beyond-
_		1	faraday/beyondfaraday.html, 9 pages, 1994
		C3	Egawa, S. and T. Higuchi, "Multi-Layered Electrostatic Film Actuator," Proc.
			IEEE Micro Electra Mechanical Systems, Napa Valley, California, pp. 166-
			171 (February 11-14, 1990).
		C4	Elhami, K., and B. Gauthier-Manuel, "Electrostriction Of The Copolymer Of
] .	Vinylidene-Fluoride And Trifluoroethylene," J. Appl. Phys. Vol. 77 (8), 3987-
		1	3990, April 15, 1995.
		C5	Flynn, Anita M., L.S. Tavrow, S.F. Bart, R.A. Brooks, D.J. Ehrlich, K.R.
	ı	0.5	Udayakumar, and L.E. Cross. 1992. "Piezoelectric Micromotors for
İ		1	Microrobots," IEEE Journal of Microelectromechanical Systems, Vol.1, No.1,
	i		pp. 44-51 (March 1992); also published as MIT Al Laboratory Memo 1269,
			Massachusetts Institute of Technology (February 1991).
		C6	Full, R. J. and K. Meijer, "Artificial Muscles Versus Natural Actuators From
			Frogs To Flies," Proceedings of the 7th SPIE Symposium on Smart Structures
			and Materials-Electroactive Polymers and Devices (EAPAD) Conference,
		1	March 6-8, 2000, Newport Beach, California, USA, pp. 2-9.
		C 7	Furuhata, T., T. Hirano, and H. Fujita, "Array-Driven Ultrasonic
\mathbf{v}) "	Microactuators," Solid State Sensors and Actuators, 1991, Digest of Tech.
١ ٠		}	Paners Transducers np. 1056-1059
		C8	Furukawa, T., and N. Seo., "Electrostriction as the Origin of Piezoelectricity in
		00	Ferroelectric Polymers," Japanese J. Applied Physics, Vol. 29, No. 4, pp. 675-
- 1			680 (April 1990).
		C9	Gilbertson, R.G., and J.D. Busch. 1994. "Survey of Micro-Actuator
- 1		00	Technologies for Future Spacecraft Missions," presented at the conference
		1.	entitled "Practical Robotic Interstellar Flight: Are We Ready?" New York
		1	University and The United Nations, New York. (August 29 and September 1,
ļ		}	1994); also published on the World Wide Web at
			http://nonothinc.com/nanosci/microtech/mems/ten-actuators/gilbertson.html.
ı		C10	Goldberg, Lee, "Adaptive-Filtering Developments Extend Noise-Cancellation
		0.0	Applications, Electronic Design, February 6, 1995, pages 34 and 36
		C11	M. Greene and J. A. Willett, and Kombluh, R., "Robotic systems," in ONR.
ĺ		~~	Report 32198-2, Ocean Engineering and Marine Systems 1997 Program (Dec.
1		1	1997)
}	Examiner	.\	Date Considered

Form 1449 (Modified)	Atty Docket No. SRI1P028/4431-2	Application No.: 09/779,203
Information Disclosure	Applicant:	
Statement By Applicant	Pelrine, et al.	,
1	Filing Date	Group
(Use Several Sheets if Necessary)	02/07/01	2858

		Other Documents
Examiner		
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	D1	Heydt, R., R. Pelrine, J. Joseph, J. Eckerle, and R. Kombluh. "Acoustical
		Performance of an Electrostrictive Polymer Film Loudspeaker", Journal of the
	<u></u>	Acoustical Society of America Vol. 107, pp. 833-839 (Feb. 2000).
	D2	Heydt, R., R. Kornbluh, R. Pelrine, and B. Mason, "Design and Performance
	ŀ	of an Electrostrictive Polymer Film Acoustic Actuator", Journal of Sound and
	ł.	Vibration (1998)215(2), 297-311.
	D3	Hirano, M., K. Yanagisawa, H. Kuwano, and S. Nakano, "Microvalve with
		Ultra-low Leakage," Tenth Annual International Workshop on Micro
		Electromechanical Systems, Nagoya, Japan, IEEE Proceedings (January 26-
		30, 1997), pp. 323-326.
	D4	Hirose, S., Biologically Inspired Robots: Snake-like Locomotors and
	İ	Manipulators, "Development of the ACM as a Manipulator", Oxford
		University Press, New York, 1993, pp.170-172.
	D5	Hunter, L, S. Lafontaine, J. Hollerbach, and P. Hunter, "Fast Reversible NiTi
		Fibers for Use in MicroRobotics," Proc. 1991 IEEE Micro Electro Mechanical
		Systems-MEMS '91, Nara, Japan, pp.166-170.
	D6	Hunter, I.W., and S. Lafontaine, "A Comparison of Muscle with Artificial
	1	Actuators", Technical Digest of the IEEE Solid-state Sensor and Actuator
		Workshop, Hilton Head, South Carolina, June 22-25, 1992, pp.178-185.
	D 7	Jacobsen, S., Price, R., Wood, J, Rytting, T., and Rafaelof, M., "A Design
		Overview of an Eccentric-Motion Electrostatic Microactuator (the Wobble
		Motor)", Sensors and Actuators, 20 (1989) pages 1-16
	D8	Kaneto, K., M. Kaneko, Y. Min, and A.G. MacDiarmid. 1995. "Artificial
		Muscle': Electromechanical Actuators Using Polyaniline Films," Synthetic
		Metals 71, pp. 2211-2212, 1995
	D9	Kawamura, S., K. Minani, and M. Esashi, "Fundamental Research of
		Distributed Electrostatic Micro Actuator," Technical Digest of the 11th Sensor
		Symposium, pp. 27-30(1992).
	D10	Kondoh Y., and T. Ono. 1991. "Bimorph Type Actuators using Lead Zinc
		Niobate-based Ceramics," Japanese Journal of Applied Physics, Vol. 30, No.
		9B, pp. 2260-2263, September 1991.
	D11	Kornbluh, R., R. Pelrine, R. Heydt, and Q. Pei, "Acoustic Actuators Based on
		the Field-Activated Deformation of Dielectric Elastomers," (2000)
	D12	Kombluh, R., G. Andeen, and J. Eckerle, "Artificial Muscle: The Next
		Generation of Robotic Actuators," presented at the Fourth World Conference
		on Robotics Research, SME Paper M591-331, Pittsburgh, PA, September 17-
		19, 1991.
Examiner		Date Considered

Form 1449 (Modified)	Atty Docket No. SRI1P028/4431-2	Application No.: 09/779,203
Information Disclosure Statement By Applicant	Applicant: Pelrine, et al.	
1	Filing Date	Group
(Use Several Sheets if Necessary)	02/07/01	2858

			Other Do	сипсис	
	Examiner				
	<u>Initial</u>	No.	Author, Title, Date, Place (e	.g. Journal) of Publication	
_		E1	Kornbluh, R., R. Pelrine, J.	Joseph, "Elastomeric Dielectric Artificial Muscle	
1	Į.	İ		" Proceedings of the Third IASTED International	
•	ĺ		Conference on Robotics and	Manufacturing, June 14-16, 1995, Cancun,	
			Mexico.		
		E2	Kombluh, R., Pelrine, R., Ed	kerie, J., Joseph, J., "Electrostrictive Polymer	
V			Artificial Muscle Actuators"	, IEEE International Conference on Robotics and	
-			Automation, Leuven, Belgiu	m, 1998	
		E3	Kombluh, R., R. Pelrine, Jos	se Joseph, Richard Heydt, Qibing Pei, Seiki Chiba,	
			1999. "High-Field Electrostr	iction Of Elastomeric Polymer Dielectrics For	
V			Actuation", Proceedings of t	he SPIE International Symposium on Smart	
			Structures and Materials: Ele	ectro-Active Polymer Actuators and Devices,	
			March 1-2, 1999, Newport B	Beach, California, USA. pp. 149-161.	
		E4	Kornbluh, R. D and R. E. Pe	lrine., "Dexterous Multiarticulated Manipulator	
			with Electrostrictive Polyme	r Artificial Muscle," ITAD-7247-QR-96-175,	
V			SRI Project Number 7247, P	repared for: Office of Naval Research, November	
			1996		
		E5	Kornbluh, R., R. Pelrine, Q.	Pei, S. Oh, and J. Joseph, 2000. "Ultrahigh Strain	
			Response of Field-Actuated Elastomeric Polymers," Proceedings of the 7th		
V			SPIE Symposium on Smart	Structures and Materials-Electroactive Polymers	
			and Devices (EAPAD) Conference, March 6-8, 2000, Newport Beach,		
			California, USA, pp. 51-64.		
		E6	Kombluh, R., Pelrine, R., Jos	seph, J., Pei, Q. and Chiba, S., "Ultra-High Strain	
			Response of Elastomeric Polymer Dielectrics", Proc. Materials Res. Soc., Fal		
			meeting, Boston, MA, pages	1-12, December 1999	
		E7	Ktech's PVDF Sensors, http	://www.ktech.com/pvdf.htm, 06/06/2001, pp. 1-5.	
		E8	Lang, J. M. Schlect, and R. I	Howe, "Electric Micromotors: Electromechanical	
V		٠	Characteristics," Proc. IEEE	Micro Robots and Teleoperators Workshop,	
	!		Hyannis, Massachusetts (1	November 9-11, 1987).	
		E9	Liu, Y., T. Zeng, Y.X. Wans	g, H. Yu, and R. Claus, "Self-Assembled Flexible	
		,		Polymer Actuators," Proceedings of the SPIE	
				Smart Structures and Materials: Electro-Active	
			Polymer Actuators and Devi	ces, March 1-2, 1999, Newport Beach, California,	
	ì		USA., pp. 284-288.	· .	
	Examiner			Date Considered	
	!				

Form 1449 (Modified)	Atty Docket No. SRI1P028/4431-2	Application No.: 09/779,203
Information Disclosure Statement By Applicant	Applicant: Pelrine, et al.	
1	Filing Date	Group
(Use Several Sheets if Necessary)	02/07/01	2858

		Other Documents	
Examiner			
Ioitial	No.		
	F1	Liu, C., Y. Bar-Cohen, and S. Leary, "Electro-statically stricted polymers	
		(ESSP)," Proceedings of the SPIE International Symposium on Smart	
		Structures and Materials: Electro-Active Polymer Actuators and Devices,	
	l .	March 1-2, 1999, Newport Beach, California, USA., pp. 186-190.	
	F2	Lawless, W. and R. Arenz, "Miniature Solid-state Gas Compressor," Rev. Sci	
		Instrum., 58(8), pp.1487-1493, August 1987	
	F3	Martin, J. and R. Anderson, 1999. "Electrostriction In Field-Structured	
	ł	Composites: Basis For A Fast Artificial Muscle?", Journal of Chemical	
	ł	Physics, Vol. 111, no. 9, pp.4273-4280, September 1, 1999	
	F4	Measurements Specialties, Inc Piezo Home,	
	1	http://www.msiusa.com/piezo/index.htm, 06/06/2001.	
	F5	T. B. Nguyen, C. K. DeBolt, Shastri, S. V., and A. Mann, "Advanced Robotic	
		Search," in ONR Ocean, Atmosphere, and Space Fiscal Year 1999 Annual	
	J	Reports (Dec. 1999)	
	F6	Nguyen, T., J. A. Willett and Kornbluh, R., "Robotic systems," in ONR	
		Ocean, Atmosphere, and Space Fiscal Year 1998 Annual Reports (Dec. 1998)	
	F7	Nguyen, T., Green, M., and Kornbluh, R., "Robotic Systems," in ONR Ocean,	
		Atmosphere, and Space Fiscal Year 1999 Annual Reports (Dec. 1999)	
	F8	Ohara, K., M. Hennecke, and J. Fuhrmann, "Electrostriction of polymethylmethacrylates," Colloid & Polymer Sci. Vol 280, 164-168 (1982)	
	F9	Olsson, A., O. Larsson, J. Holm, L. Lundbladh, O. Ohinan, and G. Stemme.	
		1997. "Valve-less Diffuser Micropumps Fabricated using Thermoplastic Replication," <i>Proc. IEEE Micro Electro Mechanical Systems</i> , Nagoya, Japan, pp. 305-310 (January 26-30, 1997). Olsson, A., G. Stemme, and E. Stemme, "The First Valve-less Diffuser Gas	
	}		
•			
	F10		
	[Pump," Tenth Annual International Workshop on Micro Electromechanical	
	1	Systems, Nagoya, Japan, IEEE Proceedings (January 26-30, 1997), pp.108-	
		113.	
	F11	Otero, T.F., J. Rodriguez, E. Angulo and C. Santamaria, "Artificial Muscles	
	""	from Bilayer Structures," Synthetic Metals, Vol. 55-57, pp. 3713-3717 (1993).	
	F12	Otero, T.F., J. Rodriguez, and C. Santamaria, "Smart Muscle Under	
		Electrochemical Control of Molecular Movement in Polypyrrole Films,"	
		Materials Research Society Symposium Proceedings, Vol. 330, pp. 333-338,	
		1994	
	F13	Park, S.E., and T. Shrout., "Ultrahigh Strain and Piezoelectric Behavior in	
	}	Relaxor Based Ferroelectric Single Crystals," J Applied Physics, Vol. 82, pp.	
		1804-1811, August 15, 1997	
Examiner	<u> </u>	Date Considered	

Form 1449 (Modified)	Atty Docket No. SRI1P028/4431-2	Application No.: 09/779,203	7
Information Disclosure	Applicant:		
Statement By Applicant	Pelrine, et al.		_
	Filing Date	Group	- [
(Use Several Sheets if Necessary)	02/07/01	2858	╛

	Other Documents				
	Examiner				
	Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication		
G1 Pei, Q., O. Inganäs, and			Pei, Q., O. Inganas, and I. Lundström, "Bending Bilayer Strips Built From		
			Polyaniline For Artificial Electrochemical Muscles," Smart Materials and		
	<u> </u>		Structures, Vol.2, pp. 16., January 22, 1993		
,		G2	Pei et al., "Improved Electroactive Polymers", U.S. Patent Application No.		
\checkmark			09/619,847, filed July 20, 2000, 70 pages		
		G3	R. Pelrine and Kombluh, R., and. 1995. "Dexterous Multiarticulated		
V			Manipulator with Electrostrictive Polymer Artificial Muscle Actuator," EMÜ		
		}	95-023, SRI International, Menlo Park, California, April 28, 1995.		
		G4	Pelrine, R., R. Kornbluh, and Q. Pei. "Electroactive Polymer Transducers		
V	•	1	And Actuators", U.S. Patent Application No. 09/620,025, filed July 20, 2001,		
		1	58 pages.		
		G5	Pelrine, R. and Kornbluh, "Electroactive Polymer Devices", U.S. Patent		
v		1	Application No. 09/619,846, filed July 20, 2000, 67 pages		
Ì		G6	Pelrine et al., "Electroactive Polymer Electrodes", U.S. Patent Application No.		
		1	09/619,843, filed July 20, 2000, 54 pages		
		G7	Pelrine et al., "Electroactive Polymer Fabrication", U.S. Patent Application		
	1	1	No. 09/619,845, filed July 20, 2000, 55 pages Pelrine et al., "Electroactive Polymer Generators", U.S. Patent Application		
		G8			
			No. 09/619,848, filed July 20, 2000, 69 pages		
		G9	Pelrine, R., R. Kornbluh, and J. Joseph, "Electrostriction of Polymer		
c			Dielectrics with Compliant Electrodes as a Means of Actuation," Sensors and		
			Actuators A: Physical, Vol. 64, 1998, pp.77-85.		
		G10	Pelrine, R, R. Kornbluh, J. Joseph, and S. Chiba, "Electrostriction of Polymer		
		}	Films for Microactuators," Proc. IEEE Tenth Annual International Workshop		
V			on Micro Electro Mechanical Systems, Nagoya, Japan, January 26-30, 1997,		
			pp. 238-243,		
		G11	Pelrine, R., R. Kornbluh, and J. Eckerle. "Energy Efficient Electroactive		
V			Polymers and Electroactive Polymer Devices", U.S. Patent Application No.		
-	_		09/779,373, filed February 7, 2001.		
		G12	Pelrine, R., and J. Joseph, FY 1992 Final Report on Artificial Muscle for		
\checkmark			Small Robots, ITAD-3393-FR-93-063, SRI International, Menlo Park,		
ŀ			California, March 1993		
	ļ	<u> </u>			
	Examiner		Date Considered		

Application No.: Atty Docket No. Form 1449 (Modified) 09/779,203 SRI1P028/4431-2 Applicant: Information Disclosure Pelrine, et al. Statement By Applicant Group Filing Date 2858 02/07/01 (Use Several Sheets if Necessary)

	Other Documents				
Γ	Examiner		1		
İ	Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication		
Ī		H1	Pelrine, R., and J. Joseph. 1994. FY 1993 Final Report on Artificial Muscle for		
V	•		Small Robots, ITAD-4570-FR-94-076, SRI International, Menlo Park,		
1			California.		
		H2	Pelrine, R., R. Kombluh, and J. Joseph, FY 1994 Final Report on Artificial		
			Muscle for Small Robots, ITAD-5782-FR-95-050, SRI International, Menlo		
- 1			Park, California, 1995		
Ī		(H3)	Pelrine, R., R. Kornbluh, and J. Joseph, FY 1995 Final Report on Artificial		
V			Muscle for Small Robots, ITAD-7071 -FR-96-047, SRI International, Menlo		
			Park, California, 1996		
		H4	Pelrine, R., R. Kombluh, and J. Joseph, FY 1996 Final Report on Artificial		
v			Muscle for Small Robots, ITAD-7228-FR-97-058, SRI International, Menlo		
-			Park, California, 1997		
Ì		H5	Pelrine, R., R. Kornbluh, and J. Joseph, FY 1997 Final Report on Artificial		
V			Muscle for Small Robots, ITAD-1612-FR-98-041, SRI International, Menlo		
Ī			Park, California, 1998		
ŀ		H6	Pelrine, R., R. Kombluh, and J. Joseph, FY 1998 Final Report on Artificial		
			Muscle for Small Robots, ITAD-3482-FR-99-36, SRI International, Menlo		
И			Park, California, 1999		
Ţ		H7	Pelrine, R., R. Kozubluh, and J. Joseph, FY 1999 Final Report on Artificial		
			Muscle for Small Robots, ITAD-10162-FR-00-27, SRI International, Menlo		
Ŋ			Park, California, 2000		
1					
ſ		H8	Pelrine, R., R. Kombluh, Q. Pei, and J. Joseph. "High-Speed Electrically		
			Actuated Elastomers with Strain Greater Than 100%", Science, Reprint		
~			Series, Feb. 4 2000, Vol. 287, pp. 836-839.		
ſ		H9	Pelrine, R., R. Kornbluh, Q. Pei, and J. Joseph, "High Speed Electrically		
ł			Actuated Elastomers with Over 100% Strain," Science, Vol. 287, No. 5454,		
i			pages 1-21, 2000		
Ĺ					
ļ		H10	Pelrine, R., R. Kornbluh, and G. Kofod, "High Strain Actuator Materials		
ĺ	i		Based on Dielectric Elastomers," submitted to Advanced Materials (May		
ļ			2000).		
}	Examiner		Date Considered		

Form 1449 (Modified)	Atty Docket No. SRI1P028/4431-2	Application No.: 09/779,203
	9 of 11	

Information Disclosure Statement By Applicant Applicant:
Pelrine, et al.
Filing Date
02/07/01

Group 2858

(Use Several Sheets if Necessary)

Other Documents

		Other Do	cuments
Examiner			
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication	
	I1	Pelrine, R., Roy Kornbluh, Jose Joseph, Qibing Pei, Seiki Chiba "Recent	
1	Progress in Artificial Muscle Micro Actuators,", SRI International, Tok		
	ŀ	1999 MITI/NEEDOIMNIC,	1999
	I2	Pelrine, R., J. Eckerle, and S	S. Chiba, "Review of Artificial Muscle
	1	Approaches," invited paper,	in Proc. Third International Symposium on Micro
Į	1	Machine and Human Science	e, Nagoya, Japan, October 14-16, 1992
	13	Piezoflex TM PVDF Polymer	Sensors, http://www.airmar.com/piezo/pvdf.htm,
1	1	06/06/2001.	
	I4	Scheinbeim, J., B. Newman,	, Z. Ma, and J. Lee, "Electrostrictive Response of
	-	Elastomeric Polymers," ACS	S Polymer Preprints, 33(2), pp.385-386, 1992
	15	Schlaberg, H. I., and J. S. D.	uffy, "Piezoelectric Polymer Composite Arrays For
		Ultrasonic Medical Imaging	Applications," Sensors and Actuators, A 44, pp.
		111-117, February 22, 1994	
	16	Shahinpoor, M., "Micro-elec	ctro-mechanics of Ionic Polymer Gels as
		Electrically Controllable Art	tificial Muscles," J. Intelligent Material Systems
		and Structures, Vol. 6, pp. 3	
	17	Shkel, Y., and D. Klingenberg, "Material Parameters for Electrostriction," J	
		Applied Physics, Vol. 80(8), pp. 4566-4572, October 15, 1996	
	18	Smela, E., O. Inganäs, and I. Lundström, "Controlled Folding of Micrometer-	
		size Structures," Science, Vol. 268, pp. 1735-1738 (23 June 1995).	
	<u>I</u> 9	Smela, E., O. Inganas, Q. Pei, and I. Lundström, "Electrochemical Muscles:	
		Micromachining Fingers and	d Corkscrews, "Advanced Materials, Vol.5, No. 9,
		pp.630-632, September 1993	
	110	Su. J., O. M. Zhang, C. H. Kim, R. Y. Ting, and R. Capps, "Effects of	
	1	Transitional Phenomena on the Electric Field induced Strain-electrostrictive	
		Response of a Segmented Polyurethane Elastomer," pp. 1363-1370, January	
		20, 1997.	
	I11	Su. J., Z. Onnaies, J. S. Harrison, Y. Bara-Cohen and S. Leary,	
		"Electromechanically Active	Polymer Blends for Actuation," Proceedings of
		the 7th SPIE Symposium on	Smart Structures and Materials-Electroactive
]		AD) Conference, March 6-8, 2000, Newport
		Beach, California, USA, pp. 65-72.	
	I12	Technology, http://www.micromuscle.com/html/technology.html, 06/06/2001.	
	I13	Tobushi, H., S. Hayashi, and	S. Kojima, "Mechanical Properties of Shape
	1		thane Series," in JSME International Journal,
		Series I, Vol.35, No.3, 1992	
	114		of Rubber Elasticity," J Polymer Science, Polymer
		Symposium, No. 48, pp. 107-	
Examiner Date Considered			
		_	



Statement By Applicant

(Use Several Sheets if Necessary)

Pelrine, et al.
Filing Date Group
02/07/01 2858

Other Documents

		Other Documents	
Examiner			
Initial	No.		
	J1	Uchino, K. 1986. "Electrostrictive Actuators: Materials and Applications,"	
	ļ	Ceramic Bulletin, 65(4), pp. 647-652, 1986	
	J2	Wade, W. L., Jr., R. J. Mammone and M. Binder, "Increased Dielectric	
		Breakdown Strengths Of Melt-Extruded Polyporpylene Films," Polymer, Vol.	
	ļ	34, No. 5, pp. 1093-4 (1993).	
	J3	Wax, S. G. and R. R. Sands, "Electroactive Polymer Actuators and Devices,"	
•		Proceedings of the SPIE International Symposium on Smart Structures and	
	1.	Materials: Electro-Active Polymer Actuators and Devices, March 1-2, 1999,	
		Newport Beach, California, USA., pp. 2-10.	
}	J4	Winters, J., "Muscle as an Actuator for Intelligent Robots", Robotics	
	1	Research: Trans. Robotics International of SME, Scottsdale, AZ (August 18 -	
		21, 1986).	
	J5	Yam, P., "Plastics Get Wired", Scientific American, Vol. 273, pp. 82-87, July	
		1995	
	J6	Zhang, Q. M., V. Bharti, ZY. Cheng, TB. Xu, S. Wang, T. S. Ramotowski,	
	1	F. Tito, and R. Ting, "Electromechanical Behavior of Electroactive P(VDF-	
		TrFE) Copolymers," Proceedings of the SPIE International Symposium on	
	1	Smart Structures and Materials: Electro-Active Polymer Actuators and	
		Devices, March 1-2, 1999, Newport Beach, California, USA., pp. 134-139.	
	37	Zhang, Q., V. Bharti, and X. Zhao, "Giant Electrostriction and Relaxor	
		Ferroelectric Behavior in Electron-irradiated Poly(vinylidene fluoride-	
		trifluoroethylene) Copolymer," Science, Vol. 280, pp. 2101-2104 (26 June	
ł	1998).		
	 	COLUMN TO THE TANK OF THE TANK	
	J8	Zhang, Q. M., ZY. Cheng, V. Bharti, TB. Xu, H. Xu, T. Mai, and S. J.	
	1	Gross, "Piezoelectric And Electrostrictive Polymeric Actuator Materials,"	
	1 1	Proceedings of the 7th SPIE Symposium on Smart Structures and Materials-	
})	Electroactive Polymers and Devices (EAPAD) Conference, March 6-8, 2000,	
	1 1	Newport Beach, California, USA, pp. 34-50.	
	J9	Zhenyi, M., J.I. Scheinbeim, J.W. Lee, and B.A. Newman. 1994. "High Field	
	""	Electrostrictive Response of Polymers," Journal of Polymer Sciences, Part B-	
] [Polymer Physics, Vol.32, pp. 2721-2731, 1994	
		2 Orymon 1 10,00000, 4 01.000, pp. 2121-2101, 1227	
Examiner		Date Considered	
	-	The Parish of Marchifely M. P.	
<u> </u>			

iscipline

BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE . UNITED STATES PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

William Plut is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Beyer, Weaver, and Thomas, LLP law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Beyer, Weaver, and Thomas, LLP law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Beyer, Weaver, and Thomas, LLP law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) William Plut ceases to lawfully reside in the United States, (ii) William Plut's employment with the Beyer, Weaver, and Thomas, LLP law firm ceases or is terminated, or (iii) William Plut ceases to remain or reside in the United States on an TN visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Eurollment and Discipline of the United States Patent and Trademark Office.

Office of Expoliment

Expires: June 10, 2002